

Risks: Corporate Services Risk Register

Risk status (score)

Overdue (0 - 0)	Low (1 - 9)	Medium (10 - 14)	High (15 - 23)	Very high (24 - 30)
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Mitigating controls

Completed	Green	Amber	Red
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Risk details	Status	Actions and accountable officers
<p>PR01 - Supplier failure: financial and other pressures leading to the failure of supply markets</p> <p>Failure of DCC to adequately manage and support supply markets, defined as 3rd parties undertaking contractual work for DCC to fulfil our strategic objectives and legislative duties, could lead to the failure of those markets.</p>	<p>Inherent status: 20 High</p> <p>Current status : 20 High</p> <p>Risk owner: Kevin Balding</p> <p>Accountable officer: Justin Bennetts</p> <p>Last review: 22 Apr 2016</p>	<p>Green Integrated Category Management</p> <p>Completed Integrated Procurement Category Management (IPCM)</p> <p>Green Corporate Strategy for procurement performance 2008-11</p>
<p>PR06 - Inflationary pressure across markets impacts upon service delivery and budget pressures</p> <p>Impact on the authority (budgets) generally by global and local inflationary pressure Cost reduction and impact on markets Public sector service cuts over the coming years having a destabilising effect on local and national markets in the short term</p>	<p>Inherent status: 16 High</p> <p>Current status : 16 High</p> <p>Risk owner: Kevin Balding</p> <p>Accountable officer: Justin Bennetts</p> <p>Last review: 22 Apr 2016</p>	<p>Green Manage Expectations</p> <p>Amber Understand Markets</p>
<p>BI06 - Data Protection breaches</p> <p>The Council may incur fines and legal actions for damages following incidents of misuse, loss, accidental or deliberate disclosure.</p>	<p>Inherent status: 24 Very high</p> <p>Current status : 16 High</p> <p>Risk owner: Carol Reece</p>	<p>Green Staff training and awareness</p>

	Accountable officer: Colin MacKenzie Last review: 22 Apr 2016	
<p>PR05 - Specifications and commissioning strategies not clearly defined service on behalf of the Council.</p> <p>Service specification not being sufficiently clearly defined to ensure value for money and optimal service outcomes. The tender specification is written around particular products and in some cases this may not actually be what other users require.</p>	<p>Inherent status: 16 High Current status : 16 High Risk owner: Kevin Balding Accountable officer: Justin Bennetts Last review: 22 Apr 2016</p>	<p>Green Compliance with Council Code of Conduct</p> <p>Amber Communication and training</p>
<p>PR09 - Supplier brings a successful challenge in relation to a procurement</p> <p>Risk of successful challenge against DCC. (procurement challenge or Judicial review) Increasing propensity to challenge.</p>	<p>Inherent status: 16 High Current status : 16 High Risk owner: Justin Bennetts Accountable officer: Justin Bennetts Last review: 22 Apr 2016</p>	<p>Green Awareness</p>
<p>FIN39: Failure to deliver priority services as a result of significantly reduced finances</p> <p>Reductions in government funding over the next 3 years will necessitate reductions in local government spending and service provision. DCC has responded by identifying savings in 2015/16 and is identifying the impact of further reductions in subsequent financial years via its Medium Term Financial Planning process This risk covers both a failure to deliver priority services due to inadequate financial planning and an inability to respond to changes arising from significant reductions in Government Finance</p>	<p>Inherent status: 20 High Current status : 16 High Risk owner: Chris Phillips Accountable officer: Mary Davis Last review: 16 May 2016</p>	<p>Amber Medium Term Financial Plan</p> <p>Completed Service Prioritisation 2011-12</p> <p>Completed Programme Devon</p> <p>Completed Work of "Meeting the Challenge" Group</p>

<p><u>BI11 - Failure to adhere to information management standards</u></p> <p>Information is the main asset for DCC services and for the organisation and needs to be managed effectively. Failure to manage information lifecycles, find it when needed, share it appropriately and dispose of it when necessary can all create risks.</p>	<p>Inherent status: 18 High Current status : 15 High Risk owner: Carol Reece Accountable officer: Colin MacKenzie Last review: 22 Apr 2016</p>	<p>Amber Information asset registration Amber Information Standards Amber Electronic Documents and Records Management</p>
<p><u>Reduction in Public Health funding</u></p> <p>Clawback of Public Health reserve funds this year means that Public Health no longer have funding available to respond to immediate Public Health demands. It also may presage future reductions in the recurring funding which could impact on commissioned services</p>	<p>Inherent status: 15 High Current status : 15 High Risk owner: Mark Kealy Accountable officer: Tracey Polak Last review: 09 Jan 2016</p>	

16 Jun 2016